2-09-04

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 15436.121.1.1 In Re Application Of: Freeman et al. Serial No. Filing Date Examiner **Group Art Unit** 10/759,261 January 19, 2004 Unknown 3634 Title: PHQ MERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 15436.121.1.1 In Re Application: Freeman et al. **Group Art Unit** Serial No. Filing Date Examiner 10/759,261 Unknown 3634 January 19, 2004 MERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. ☑ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of $\boxtimes$ Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile\* Certificate of Mailing by First Class Mail certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service Patent and Trademark Office (F as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature of Person Mailing Correspondence Signature Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Dated: November 7, 2004 Signature R. BURNS ISRAELSEN Registration No. 42,685 Customer No. 022913 CC:

EXPRESS MAIL NO. EV524684515US

PATENT APPLICATION

Docket No: 15436.121.1.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of		)	
	Freeman et al.	)	
Serial No.:	10/759,261	)	Art Unit
Confirmation No.:	6915	)	3634
Filed:	January 19, 2004	)	
For:	PHOTOPOLYMERIZABLE ELECTROLYTE LAYERS FOR ELECTROCHROMIC WINDOWS	) )	
Examiner:	Unknown	) )	

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. § 1.97</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Respectfully submitted,

R. Bunder

R. BURNS ISRAELSEN

Attorney for Applicant Registration No. 42,685

Customer No. 022913

Telephone: (801) 533-9800

RBI: kjb KJB0000004812V001

CERTIFICATE OF I		ESS MAIL" (37 CFR 1.10)	Docket No. 15436.121.1.1
Serial No. 10/759,261	Filing Date January 19, 2004	Examiner Unknown	Group Art Unit 3634
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